



APR 12 2000  
TECH CENTER 1600/2900  
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Ian S. Zagon, et al.      Art Unit: 1635  
Serial No.: 09/431,843      Docket: 13038  
Filed: November 2, 1999      Dated: April 3, 2000  
For: NOVEL NUCLEIC ACID MOLECULES  
ENCODING OPIOID GROWTH FACTOR  
RECEPTORS

Assistant Commissioner for Patents  
Washington, DC 20231

Response to Notice to Comply under 37 C.F.R. § 1.821

Sir:

In response to the Office Communication dated February 10, 2000 and in accordance with the provisions in 37 C.F.R. §1.821, Applicants submit herewith a substitute paper and a substitute computer readable copy of the Sequence Listing, along with a Statement Under 37 C.F.R. § 1.821(f), stating that these copies are identical. A copy of the Notice to Comply is also

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CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on April 3, 2000.

Dated: April 3, 2000

Janet Giordano  
Janet Giordano

enclosed. Applicants respectfully submit that the content of the paper and computer copies of the sequence listing does not introduce new matter.

Respectfully submitted,



Frank S. DiGiglio  
Registration No. 31,346

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Garden City, New York 11530  
(516) 742-4343

FSD/XZ:ab



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Assistant Commissioner for Patents  
Washington, D.C. 20231

STATEMENT UNDER 37 C.F.R. § 1.821(f)

Sir:

I hereby state that the content of the substitute paper and computer readable copies of the Sequence Listing submitted in accordance with 37 C.F.R. § 1.821(c) and (e), respectively, are the same.

Respectfully submitted,

Frank S. DiGiglio  
Registration No. 31,346

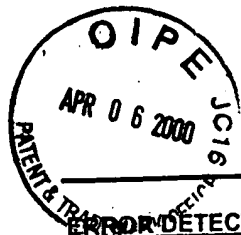
SCULLY, SCOTT, MURPHY & PRESSER  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343  
FSD/XZ:ab

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

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Dated: April 3, 2000

  
Janet Giordano



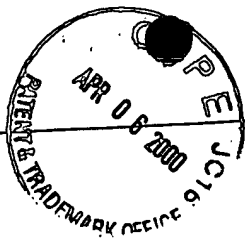
# Raw Sequence Listing Error Summary

SERIAL NUMBER: 09/431,843

## ERROR DETECTED SUGGESTED CORRECTION

ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE

- 1 ☐ Wrapped Nucleics  
The number/text at the end of each line "wrapped" down to the next line.  
This may occur if your file was retrieved in a word processor after creating it.  
Please adjust your right margin to .3, as this will prevent "wrapping".
- 2 ☐ Wrapped Aminos  
The amino acid number/text at the end of each line "wrapped" down to the next line.  
This may occur if your file was retrieved in a word processor after creating it.  
Please adjust your right margin to .3, as this will prevent "wrapping".
- 3 ☐ Incorrect Line Length  
The rules require that a line not exceed 72 characters in length. This includes spaces.
- 4 ☐ Misaligned Amino Acid Numbering  
The numbering under each 5th amino acid is misaligned. This may be caused by the use of tabs between the numbering. It is recommended to delete any tabs and use spacing between the numbers.
- 5 ☒ Non-ASCII  
This file was not saved in ASCII (DOS) text, as required by the Sequence Rules.  
Please ensure your subsequent submission is saved in ASCII text so that it can be processed.
- 6 ☐ Variable Length  
Sequence(s) \_\_\_\_\_ contain n's or Xaa's which represented more than one residue.  
As per the rules, each n or Xaa can only represent a single residue.  
Please present the maximum number of each residue having variable length and indicate in the (ix) feature section that some may be missing.
- 7 ☐ PatentIn ver. 2.0 "bug"  
A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequence(s) \_\_\_\_\_. Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence.
- 8 ☐ Skipped Sequences (OLD RULES)  
Sequence(s) \_\_\_\_\_ missing. If intentional, please use the following format for each skipped sequence:  
(2) INFORMATION FOR SEQ ID NO:X:  
(i) SEQUENCE CHARACTERISTICS:(Do not insert any headings under "SEQUENCE CHARACTERISTICS")  
(xi) SEQUENCE DESCRIPTION:SEQ ID NO:X:  
This sequence is intentionally skipped  
  
Please also adjust the "(iii) NUMBER OF SEQUENCES:" response to include the skipped sequence(s).
- 9 ☐ Skipped Sequences (NEW RULES)  
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- 10 ☐ Use of n's or Xaa's (NEW RULES)  
Use of n's and/or Xaa's have been detected in the Sequence Listing.  
Use of <220> to <223> is MANDATORY if n's or Xaa's are present.  
In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.
- 11 ☐ Use of <213>Organism (NEW RULES)  
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- 12 ☐ Use of <220>Feature (NEW RULES)  
Sequence(s) \_\_\_\_\_ are missing the <220>Feature and associated headings.  
Use of <220> to <223> is MANDATORY if <213>ORGANISM is "Artificial" or "Unknown"  
Please explain source of genetic material in <220> to <223> section.  
(See "Federal Register," 6/01/98, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of new Rules)
- 13 ☐ PatentIn ver. 2.0 "bug"  
Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other means to copy file to floppy disk.



## SEQUENCE LISTING

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TECH CENTER 1600/2900

<110> Zagon S., Ian  
Verderame, Michael  
Allen, Sandra  
McLaughlin J., Patricia

<120> NOVEL NUCLEIC ACID MOLECULES ENCODING OPIOID GROWTH  
FACTOR RECEPTORS

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 Asn Asn Leu Arg Ile Thr Arg Ile Leu Lys Ser Pro Cys Glu Leu Ser  
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 Leu Glu His Phe Gln Ala Pro Leu Val Arg Phe Phe Leu Glu Glu Thr  
 225 230 235 240  
 Leu Val Arg Arg Glu Leu Pro Gly Val Arg Gln Ser Ala Leu Asp Tyr  
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 Phe Met Phe Ala Val Arg Cys Arg His Gln Arg Arg Gln Leu Val His  
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 Phe Ala Trp Glu His Phe Arg Pro Arg Cys Lys Phe Val Trp Gly Pro  
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 Gln Asp Lys Leu Arg Arg Phe Lys Pro Ser Ser Leu Pro His Pro Leu  
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 Glu Gly Ser Arg Lys Val Glu Glu Glu Gly Ser Pro Gly Asp Pro Asp  
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His	Glu	Ala	Ser	Thr	Gln	Gly	Arg	Thr	Cys	Glu	Pro	Glu	His	Ser	Lys	325	330	335	
Gly	Gly	Gly	Arg	Val	Asp	Glu	Gly	Pro	Gln	Pro	Arg	Ser	Val	Glu	Pro	340	345	350	
Gln	Asp	Ala	Gly	Pro	Leu	Glu	Arg	Ser	Gln	Gly	Asp	Glu	Ala	Gly	Gly	355	360	365	
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Arg	Lys	Leu	Glu	Leu	Ser	Arg	Arg	Glu	Gln	Pro	Pro	Thr	Gly	Pro	Gly	385	390	395	400
Pro	Gln	Ser	Ala	Ser	Glu	Val	Glu	Lys	Ile	Ala	Leu	Asn	Leu	Glu	Gly	405	410	415	
Cys	Ala	Leu	Ser	Gln	Gly	Ser	Leu	Arg	Thr	Gly	Thr	Gln	Glu	Val	Gly	420	425	430	
Gly	Gln	Asp	Pro	Gly	Glu	Ala	Val	Gln	Pro	Cys	Arg	Gln	Pro	Leu	Gly	435	440	445	
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Thr	Gly	Asp	Ser	Ala	Ala	Val	Ala	Ser	Gly	Gly	Ala	Gln	Thr	Leu	Ala	465	470	475	480
Leu	Ala	Gly	Ser	Pro	Ala	Pro	Ser	Gly	His	Pro	Lys	Ala	Gly	His	Ser	485	490	495	
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Gly	Thr	Pro	Gly	Ser	Pro	Ser	Glu	Thr	Pro	Gly	Pro	Ser	Pro	Ala	Gly	515	520	525	
Pro	Ala	Gly	Asp	Glu	Pro	Ala	Lys	Thr	Pro	Ser	Glu	Thr	Pro	Gly	Pro	530	535	540	
Ser	Pro	Ala	Gly	Pro	Thr	Arg	Asp	Glu	Pro	Ala	Glu	Ser	Pro	Ser	Glu	545	550	555	560
Thr	Pro	Gly	Pro	Arg	Pro	Ala	Gly	Pro	Ala	Gly	Asp	Glu	Pro	Ala	Glu	565	570	575	
Ser	Pro	Ser	Glu	Thr	Pro	Gly	Pro	Arg	Pro	Ala	Gly	Pro	Ala	Gly	Asp	580	585	590	
Glu	Pro	Ala	Lys	Ile	Pro	Ser	Glu	Thr	Pro	Gly	Pro	Ser	Pro	Ala	Gly	595	600	605	
Pro	Thr	Arg	Asp	Glu	Pro	Ala	Glu	Ser	Pro	Ser	Glu	Thr	Pro	Gly	Pro	610	615	620	

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Arg Pro Ala Gly Pro Ala Gly Asp Glu Pro Ala Glu Ser Pro Ser Glu  
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Thr Pro Gly Pro Arg Pro Ala Gly Pro Ala Gly Asp Glu Pro Ala Glu  
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Ser Pro Ser Glu Thr Pro Gly Pro Ser Pro Ala Gly Pro Thr Arg Asp  
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Glu Pro Ala Lys Ala Gly Glu Ala Ala Glu Leu Gln Asp Ala Glu Val  
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Glu Ser Ser Ala Lys Ser Gly Lys Pro  
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<213> Homo sapiens

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 Phe Tyr Arg Asn Glu Ile Arg Phe Leu Pro Asn Gly Cys Phe Ile Glu  
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 Asp Ile Leu Gln Asn Trp Thr Asp Asn Tyr Asp Leu Leu Glu Asp Asn  
 115 120 125  
 His Ser Tyr Ile Gln Trp Leu Phe Pro Leu Arg Glu Pro Gly Val Asn  
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Trp His Ala Lys Pro Leu Thr Leu Arg Glu Val Glu Val Phe Lys Ser  
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 Ser Gln Glu Ile Gln Glu Arg Leu Val Arg Ala Tyr Glu Leu Met Leu  
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 Gly Phe Tyr Gly Ile Arg Leu Glu Asp Arg Gly Thr Gly Thr Val Gly  
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 Arg Ala Gln Asn Tyr Gln Lys Arg Phe Gln Asn Leu Asn Trp Arg Ser  
 195 200 205  
 His Asn Asn Leu Arg Ile Thr Arg Ile Leu Lys Ser Pro Cys Glu Leu  
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 245 250 255  
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 His Phe Ala Trp Glu His Phe Arg Pro Arg Cys Lys Phe Val Trp Gly  
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 Pro Gln Asp Lys Leu Arg Arg Phe Lys Pro Ser Ser Leu Pro His Pro  
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 Gly Pro Gln Ser Ala Ser Glu Val Glu Lys Ile Ala Leu Asn Leu Glu  
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 Gly Cys Ala Leu Ser Gln Gly Ser Leu Arg Thr Gly Thr Gln Glu Val  
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 Arg Ala Ala Arg Pro Ser Ser Phe Gln Ser Arg Met Thr Gly Ser Arg  
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 Asn Trp Arg Ala Thr Arg Asp Met Cys Arg Tyr Arg His Asn Tyr Pro  
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Tyr	Arg	Asn	Glu 100	Ile	Arg	Phe	Leu	Pro 105	Asn	Gly	Cys	Phe	Ile 110	Glu	Asp
Ile	Leu	Gln 115	Asn	Trp	Thr	Asp	Asn 120	Tyr	Asp	Leu	Leu	Glu 125	Asp	Asn	His
Ser	Tyr 130	Ile	Gln	Trp	Leu	Phe 135	Pro	Leu	Arg	Glu	Pro 140	Gly	Val	Asn	Trp
His 145	Ala	Lys	Pro	Leu	Thr 150	Leu	Arg	Glu	Val	Glu 155	Val	Phe	Lys	Ser	Ser 160
Gln	Glu	Ile	Gln	Glu 165	Arg	Leu	Val	Arg	Ala 170	Tyr	Glu	Leu	Met	Leu 175	Gly
Phe	Tyr	Gly	Ile 180	Arg	Leu	Glu	Asp	Arg 185	Gly	Thr	Gly	Thr	Val 190	Gly	Arg
Ala	Gln	Asn 195	Tyr	Gln	Lys	Arg	Phe 200	Gln	Asn	Leu	Asn	Trp 205	Arg	Ser	His
Asn	Asn 210	Leu	Arg	Ile	Thr	Arg 215	Ile	Leu	Lys	Ser	Pro 220	Cys	Glu	Leu	Ser
Leu 225	Glu	His	Phe	Gln	Ala 230	Pro	Leu	Val	Arg	Phe 235	Phe	Leu	Glu	Glu	Thr 240
Leu	Val	Arg	Arg	Glu 245	Leu	Pro	Gly	Val	Arg 250	Gln	Ser	Ala	Leu	Asp 255	Tyr
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Phe	Ala	Trp 275	Glu	His	Phe	Arg	Pro 280	Arg	Cys	Lys	Phe	Val 285	Trp	Gly	Pro
Gln	Asp 290	Lys	Leu	Arg	Arg	Phe 295	Lys	Pro	Ser	Ser	Leu 300	Pro	His	Pro	Leu
Glu 305	Gly	Ser	Arg	Lys	Val 310	Glu	Glu	Glu	Gly	Ser 315	Pro	Gly	Asp	Pro	Asp 320
His	Glu	Ala	Ser	Thr 325	Gln	Gly	Arg	Thr	Cys 330	Gly	Pro	Glu	His	Ser 335	Lys
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Gln	Asp	Ala 355	Gly	Pro	Leu	Glu	Arg 360	Ser	Gln	Gly	Asp	Glu 365	Ala	Gly	Gly
His	Gly 370	Glu	Asp	Arg	Pro	Glu 375	Pro	Leu	Ser	Pro	Lys 380	Glu	Ser	Lys	Lys

Arg Lys Leu Glu Leu Ser Arg Arg Glu Gln Pro Pro Thr Glu Pro Gly  
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 Thr Pro Gly Pro Ser Pro Ala Gly Pro Thr Arg Asp Glu Pro Ala Lys  
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Phe	Met	Phe	Ala	Val	Arg	Cys	Arg	His	Gln	Arg	Arg	Gln	Leu	Val	His	
			260					265					270			
Phe	Ala	Trp	Glu	His	Phe	Arg	Pro	Arg	Cys	Lys	Phe	Val	Trp	Gly	Pro	
		275					280					285				
Gln	Asp	Lys	Leu	Arg	Arg	Phe	Lys	Pro	Ser	Ser	Leu	Pro	His	Pro	Leu	
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Glu	Gly	Ser	Arg	Lys	Val	Glu	Glu	Glu	Gly	Ser	Pro	Gly	Asp	Pro	Asp	
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His	Glu	Ala	Ser	Thr	Gln	Gly	Arg	Thr	Cys	Gly	Pro	Glu	His	Ser	Lys	
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			340					345					350			
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		355					360					365				
His	Gly	Glu	Asp	Arg	Pro	Glu	Pro	Leu	Ser	Pro	Lys	Glu	Ser	Lys	Lys	
	370					375					380					
Arg	Lys	Leu	Glu	Leu	Ser	Arg	Arg	Glu	Gln	Pro	Pro	Thr	Glu	Pro	Gly	
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Pro Gln Ser Ala Ser Glu Val Glu Lys Ile Ala Leu Asn Leu Glu Gly  
 405 410 415  
 Cys Ala Leu Ser Gln Gly Ser Leu Arg Thr Gly Thr Gln Glu Val Gly  
 420 425 430  
 Gly Gln Asp Pro Gly Glu Ala Val Gln Pro Cys Arg Gln Pro Leu Gly  
 435 440 445  
 Ala Arg Val Ala Asp Lys Val Arg Lys Arg Arg Lys Val Asp Glu Gly  
 450 455 460  
 Ala Gly Asp Ser Ala Ala Val Ala Ser Gly Gly Ala Gln Thr Leu Ala  
 465 470 475 480  
 Leu Ala Gly Ser Pro Ala Pro Ser Gly His Pro Lys Ala Gly His Ser  
 485 490 495  
 Glu Asn Gly Val Glu Glu Asp Thr Glu Gly Arg Thr Gly Pro Lys Glu  
 500 505 510  
 Gly Thr Pro Gly Ser Pro Ser Glu Thr Pro Gly Pro Ser Pro Ala Gly  
 515 520 525  
 Pro Ala Gly Asp Glu Pro Ala Glu Ser Pro Ser Glu Thr Pro Gly Pro  
 530 535 540  
 Arg Pro Ala Gly Pro Ala Gly Asp Glu Pro Ala Glu Ser Pro Ser Glu  
 545 550 555 560  
 Thr Pro Gly Pro Ser Pro Ala Gly Pro Thr Arg Asp Glu Pro Ala Glu  
 565 570 575  
 Ser Pro Ser Glu Thr Pro Gly Pro Arg Pro Ala Gly Pro Ala Gly Asp  
 580 585 590  
 Glu Pro Ala Glu Ser Pro Ser Glu Thr Pro Gly Pro Arg Pro Ala Gly  
 595 600 605  
 Pro Ala Gly Asp Glu Pro Ala Glu Ser Pro Ser Glu Thr Pro Gly Pro  
 610 615 620  
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Pro

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<213> Homo sapiens

<400> 14

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Ala Arg Asp Ala Asp Ala Gly Asp Glu Asp Glu Glu Ser Glu Glu Pro  
 35 40 45  
 Arg Ala Ala Arg Pro Ser Ser Phe Gln Ser Arg Met Thr Gly Ser Arg  
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 Asn Trp Arg Ala Thr Arg Asp Met Cys Arg Tyr Arg His Asn Tyr Pro  
 65 70 75 80  
 Asp Leu Val Glu Arg Asp Cys Asn Gly Asp Thr Pro Asn Leu Ser Phe  
 85 90 95  
 Tyr Arg Asn Glu Ile Arg Phe Leu Pro Asn Gly Cys Phe Ile Glu Asp  
 100 105 110  
 Ile Leu Gln Asn Trp Thr Asp Asn Tyr Asp Leu Leu Glu Asp Asn His  
 115 120 125  
 Ser Tyr Ile Gln Trp Leu Phe Pro Leu Arg Glu Pro Gly Val Asn Trp  
 130 135 140  
 His Ala Lys Pro Leu Thr Leu Arg Glu Val Glu Val Phe Lys Ser Ser  
 145 150 155 160  
 Gln Glu Ile Gln Glu Arg Leu Val Arg Ala Tyr Glu Leu Met Leu Gly  
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 Phe Tyr Gly Ile Arg Leu Glu Asp Arg Gly Thr Gly Thr Val Gly Arg  
 180 185 190  
 Ala Gln Asn Tyr Gln Lys Arg Phe Gln Asn Leu Asn Trp Arg Ser His  
 195 200 205  
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 225 230 235 240  
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 245 250 255  
 Phe Met Phe Ala Val Arg Cys Arg His Gln Arg Arg Gln Leu Val His  
 260 265 270  
 Phe Ala Trp Glu His Phe Arg Pro Arg Cys Lys Phe Val Trp Gly Pro  
 275 280 285  
 Gln Asp Lys Leu Arg Arg Phe Lys Pro Ser Ser Leu Pro His Pro Leu  
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 Glu Gly Ser Arg Lys Val Glu Glu Glu Gly Pro Ala Gly Asp Glu Pro  
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Arg Asp Glu Pro Ala Lys Ala Gly Glu Ala Glu Ala Cys Cys Leu Ala  
340 345 350

Val Ser Ser His Pro Ala Leu Pro Cys Ala Pro Val Phe Val Asn Arg  
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